

02 FC:2001

03 FC:2201 04 FC:2202 05 FC:2203

145.00 DA Dea 45. 99 i 14: PATENT Client-Matter No.: 67234-015

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Chee et al. Examiner: Unassigned Group Art Unit: 1635 Serial No: 10/620,852 Filed: July 15, 2003 Confirmation No: 2545 For: MULTIPLEX NUCLEIC CERTIFICATE OF MAILING BY "EXPRESS MAIL" ACID REACTIONS "EXPRESS MAIL" MAILING LABEL NUMBER: EV 400 551 980 US DATE OF DEPOSIT: January 20, 2004 I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 C.F.R. I. 10 ON THE DATE INDICATED ABOVE, AND IS ADDRESSED TO: MAIL STOP MISSING PARTS, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450. Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 (TYPED OR PRINTED NAME OR PERSON MAILING PAPER OR FEE) Alexandria, VA 22313-1450 01/26/2004 GWDRDOF1 00000061 502624 10620852 AILING PAPER OR FEE) 385.00 DA 172.00 DA 837.00 DA MISSING PARTS TRANSMITTAL

> In response to the Notice to File Missing Parts mailed October 17, 2003, enclosed are:

- X A copy of the Notice to File Missing Parts;
- Χ 2. An executed Declaration;
- Χ 3. An executed Power of Attorney for Patent Application;
- Χ 4. An executed Small Entity Statement;
- X 5. An executed Statement Under CFR 3.73(b) with copy of executed Assignment.
- X 6. Twenty-eight (28) sheets of drawings.
- X 7. A Petition for a one-month Extension of Time, in duplicate,

The filing fee has been calculated as shown below:

	NUMBER FILED		NUMBER EXTRA		RATE			FEE	
					SMALL ENTITY	OTHER ENTITY		SMALL ENTITY	OTHER ENTITY
TOTAL CLAIMS	113-20	=	93	×	\$9	\$18	_	\$837	\$
INDEPEN- DENT CLAIMS	7-3	=	4	×	\$43	\$86	_	\$172	\$0
MULTIPLE (PRESENTED			AIMS NO		\$145	\$290	=	\$145	\$0
-					BASIC FEE			\$385	\$770
				TOTAL FEE			\$1,539	\$	

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- X 8. Please charge my Deposit Account No. 502624 the amount of \$1,659.00, \$1,539.00 of which covers the filing fee, \$65.00 of which covers the surcharge fee and \$55.00 of which covers the fee for a one-month extension of time. A duplicate copy of this sheet is enclosed.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 502624. A duplicate copy of this sheet is enclosed.
 - \underline{X} Any additional filing fees required under 37 C.F.R. 1.16.
 - X Any patent application processing fees under 37 C.F.R. 1.17.
- X The Commissioner is hereby authorized to charge to Deposit Account No. 502624 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: January 20, 2004

Deborah L. Cadena

Registration No. 44,048 Telephone: (858) 535-9001 Facsimile: (858) 535-8949

McDERMOTT, WILL & EMERY 4370 La Jolla Village Drive Suite 700 San Diego, California 92122

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The "number of employees" is the average number of employees, including the employees of its domestic and foreign affiliates, based on 'numbers of employees for each of the pay periods for the preceding completed 12 calendar months. "Employees" includes all individuals employed on a full-time, part-time, temporary, or other basis. Part-time and temporary employees are counted the same as full-time employees. If a concern has not been in business for 12 months, use the average number of employees for each of the pay periods it has been in business. 13 C.F.R. § 121.106.

Concerns are "affiliates" of each other when one concern directly or indirectly controls or has the power to control the other, or when a third party or parties controls or has the power to control both concerns. 13 C.F.R. § 121.103(a).

(3) Nonprofit organization:

A university or other institution of higher education located in any country. § 1.27(a)(3) (ii)(A).

An organization of the type described in section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from taxation under section 501(a). Also included are such organizations located in a foreign country that would qualify if it were located in this country. § 1.27(a)(3)(ii)(B),(D).

A nonprofit scientific or educational organization qualified under a nonprofit organization statute of a U.S. state. Also included are such organizations located in a foreign country that would qualify if it were located in this country. § 1.27(a)(3)(ii)(C),(D).

Please note that a license to the Government resulting from a rights determination under Executive Order 10096 does not constitute a license that would prohibit claiming Small Entity Status. Similarly, for small business concerns and nonprofit organizations, a license to a Federal agency resulting from a funding agreement with that agency under 35 U.S.C. § 202(c)(4) is not a license that would prohibit claiming Small Entity Status. § 1.27(a)(4).

I hereby assert that I am empowered to sign on behalf of the party identified below ("Party"). Persons empowered to sign include, but are not limited to, an inventor him- or herself or an authorized officer of an assignee or licensee. See § 1.27(c)(2).

I have made a determination of the Party's entitlement to Small Entity Status, including a determination that all parties holding rights in the invention qualify for Small Entity Status. § 1.27(f).

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I hereby assert that the Party has not assigned, granted, conveyed or licensed--and is under no obligation under contract or law to do so--any rights in the invention to any other party that would not qualify as a Small Entity. Separate assertions of Small Entity Status should be obtained from each party having rights to the invention.

I hereby assert that the Party is entitled to be accorded Small Entity Status by the USPTO for the application or patent identified above. § 1.27(c)(1).

I understand that Small Entity Status must be newly determined when the issue fee and each maintenance fee is due. If there is any change resulting in loss of entitlement to Small Entity Status, I acknowledge the duty to file a notification to the USPTO in this application or patent before or upon paying the fee. § 1.27(g).

I understand that Small Entity Status must be separately established in any related application, including continuation, divisional, continuation-in-part, continued prosecution application or reissue application. § 1.27(c)(4).

I understand that any attempt to establish Small Entity Status improperly, deceptively or fraudulently will be considered a fraud practiced on the USPTO and may result in abandonment of the application or jeopardize the validity and enforceability of any resulting patent. § 1.27(h).

Jaw 16, 2004

Name:

Title:

Vice President, Intellectual Property

Illumina, Inc.

9885 Towne Centre Drive San Diego, California 92121



Document: Twenty-eight (28) sheets of

drawings

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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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